

Exemplar Capital's Business Continuity Planning

Exemplar Capital LLC has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption you cannot contact us as you usually do at our main telephone number (617) 542 7400], you should call the mobile telephone number of your primary contact for your business. customers]. Our primary way of communicating with you during a disruption will be via our mobile telephones.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank, and counter-party impact; regulatory reporting.

Our financial books and records are maintained off site by our Financial Operations person (FINOPS) in a geographically separate area. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, we believe due to our diverse locations we can continue to operate effectively

Varying Disruptions – Significant business disruptions can vary in their scope, such, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In such a disruption to our firm we expect to continue to operate similar to our operations during Covid 19. We will seek to transfer our operations to a local site when needed and will do so as quickly and conditions warrant.

For more information – If you have questions about our business continuity planning, please feel free to contact us.